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LOSS OF ACID SENSING ION CHANNEL-1a AND BICARBONATE
ADMINISTRATION ATTENUATE THE SEVERITY OF TRAUMATIC BRAIN INJURY

by

Terry Yin

A thesis submitted in partial fulfillment of the requirements for the Master of Science degree
in Interdisciplinary Studies in the Graduate College of
The University of Iowa

May 2013

Thesis Supervisor: Professor Michael J Welsh

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CERTIFICATE OF APPROVAL

MASTER'S THESIS

This is to certify that the Master's thesis of

Terry Yin

has been approved by the Examining Committee for the thesis requirement for the Master of Science degree in Interdisciplinary Studies at the May 2013 graduation.

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CHAPTER 1

TRAUMATIC BRAIN INJURY CAUSES CEREBRAL ACIDOSIS

Introduction

Traumatic brain injury (TBI) is a common public health issue that affects an estimated 1.7 million individuals annually in the United States [1]. The pathophysiology of TBI involves at least two stages. The initial mechanical event causes structural damage to brain tissue. That primary injury is followed by a secondary injury, a complex process that can extend from minutes to months and leads to impaired function and death of neurons [2-4]. The acute and typically unpredictable timing of the primary injury supports the adoption of preventive measures, but also accounts for the many practical problems and constraints associated with immediate therapeutic intervention [5]. However, the more prolonged time during which secondary injury progresses suggests that there is a window of opportunity to intervene and reduce the adverse consequences and long-term sequelae of TBI.

Multiple potential mechanisms for secondary TBI have been identified and include reduced cerebral blood flow causing brain ischemia [6-8], brain edema causing increased intracranial pressure that can further impair cerebral blood flow and cause herniation syndromes [9,10], excitotoxicity [11-13], oxidative stress [14-16], and inflammation [17-20]. Acidosis is also a factor that could contribute to the secondary injury. Previous studies have shown that brain tissue pH falls after TBI, and the reduction is greater in patients with more severe injury [21] [22]. Acidosis may be due to ischemia and to metabolic changes induced by the injury [23].

A reduced pH could potentially enhance TBI severity by activating acid sensing ion channels (ASICs) [24-28]. ASICs are members of the degenerin/epithelial Na⁺ channel family of ion

channels and are widely expressed in the central nervous system [29-32]. Neuronal ASIC channels are hetero-trimers [33] composed primarily of ASIC1a and ASIC2 subunits [29-32]. These subunits form non-voltage gated channels that are activated by a reduction of extracellular pH and conduct Na^+ and to a much lesser extent Ca^{2+} . The ASIC1a subunit is required for currents in response to acidic stimuli of less than pH 5 as demonstrated in neurons from mice with a disrupted ASIC1a gene (*ASIC1a*^{-/-} mice) [32,34].

Previous studies have shown that acidosis can cause neuronal injury that is at least in part mediated by ASIC channels. For example, extracellular acidosis accompanying cerebral ischemic stroke contributed to neuronal injury, and eliminating ASIC1a from neurons attenuated acidosis-induced cell injury and death [35-37]. Inhibiting ASICs also attenuated neuronal injury following reperfusion after an ischemic insult in rats [37]. Extracellular pH also falls during autoimmune encephalomyelitis, a mouse model of multiple sclerosis, and loss of ASIC1a in *ASIC1a*^{-/-} mice was neuroprotective [38]. It has also been proposed that ASIC channels contribute to the pathological consequences of Parkinson's disease [39-41] and Huntington's disease [42]. Earlier studies of TBI in rats examined the effect of amiloride, which can inhibit ASIC currents. One study suggested that amiloride administered before TBI could attenuate severity [43], and another study suggested that amiloride administered 30 min after TBI exacerbated the injury [44]. Further limiting the interpretation of such studies, amiloride can also inhibit the type-1 Na^+-H^+ exchanger and the $\text{Na}^+-\text{Ca}^{2+}$ exchanger.

Based on this background, we hypothesized that TBI induces an acidosis that activates ASIC channels and thereby contributes to the neuronal injury following mechanical trauma. To test this hypothesis, we used the lateral fluid percussion injury (FPI) model in

which a fluid pressure pulse is delivered to the intact dura [45]. The lateral FPI model is one of the most widely used animal models of TBI because it provides an injury of reproducible severity [46].

Materials and Methods

Ethic Statement .

All animal protocols were approved by the University of Iowa Animal Care and Use Committee. We used male 2-4 month old wild-type and *ASIC1a*^{-/-} mice on a congenic C57BL/6J background as previously described [32]. Mice were adapted to handling prior to testing in behavioral assays.

Fluid percussion injury

We used methods similar to those previously described [45]. Briefly, animals were anesthetized with pentobarbital. Hair was removed from the skull, and mice were secured in a stereotactic holder. The skull was exposed, a 3 mm diameter hole was placed through the skull leaving the dura intact, and a modified Leur-lock hub was placed over the hole and secured with glue. The hub was filled with saline and capped. One day later, mice were anesthetized with 2% isoflurane. The Leur lock adapter was then connected to a fluid percussion injury device (Custom Design and Fabrication, Virginia Commonwealth University), which delivered a 0.9 atmosphere pulse. Following FPI, the Leur lock adapter was removed, the scalp sutured, and mice were monitored until fully recovered, at which time they were returned to their home cage.

Fluoro-jade staining

Mice were euthanized with pentobarbital, perfused with saline to clear blood, and then perfused with 4% paraformaldehyde. Brains were removed and soaked in 4% paraformaldehyde overnight at 4 °C. Brains were then immersed in a 30% sucrose solution until they were no longer buoyant and then frozen with liquid nitrogen. Ten µm thick sections of brain were cut at -28 °C with a cryostat (HM 505E, Leica Microsystems, Wetzlar,

Germany). Sections were mounted on Superfrost Plus slides (Fisher Scientific, Pittsburgh, PA). Slides were stained with fluoro-jade according to manufacturer's directions (Millipore, Billerica, MA). Briefly, slides were air dried at 50 °C for 25 min, placed in 1% NaOH in EtOH for 5 min, submerged in 70% EtOH for 2 min, washed in double distilled H₂O for 2 min, immersed in 0.06% KMnO₄ for 10 min, and washed again. Slides were then incubated in a solution of 50 µl acetic acid, 2 ml fluoro-jade and 50 ml double distilled H₂O for 30 min. They were then washed 3 times, dried at 40 °C for 15 min, submerged in 95% EtOH, 100% EtOH, and Xylems each for 1 min, air-dried and a cover slip was applied. They were imaged with an Olympus FluoView1000 confocal microscope (Center Valley, PA). Sections for examination were chosen from coronal sections of the cortex that were referenced to the anterior, medial, and posterior hippocampus. For each animal, we counted 3 microscopic fields of cortex from each of the 3 areas and averaged the data from 9 fields to generate the number of fluoro-jade positive cells per field for an individual animal. Operators were unaware of genotype or treatment.

pH measurement

Following FPI, a tracheostomy was placed and a mice were ventilated at 50 breaths/min, tidal volume 35ml/Kg, and an I:E ratio of 1 with a rodent ventilator (SAR 830/P, CWE incorporated, Veemarktade, Netherlands). During ventilation, sedation was maintained with 2% isoflurane inhalation, and mice were paralyzed with pancronium (0.1ml/kg, intraperitoneal). We monitored mice with electrocardiogram (emka Technologies, Falls Curch, VA). Cortical pH was measured with a fiber optic pH electrode (tip size 140 µm) (#502123, World Precision Instruments, Sarasota, FL). Probes were calibrated before and after each experiment with standard solutions ranging from pH 6 to 8 as described by the

manufacturer. Probes were inserted 500 μm into the cortex at the site of craniotomy, and pH was recorded one hr after the FPI.

Administration of NaHCO_3 and NaCl

Some mice received an intraperitoneal injection of NaHCO_3 or NaCl immediately or 1 hr after FPI. Animals received 2×10^{-3} moles/Kg of NaHCO_3 or NaCl from 200 mM stock solutions; for example, a 25 g mouse received 250 μl of solution.

Barnes maze assay

A Barnes maze assay was used to assess spatial memory. The protocol was similar to that previously described [55]. The apparatus was a circular table with a diameter of 122 cm, placed 95 cm above the ground. Forty four 5.1 cm diameter holes were located equidistantly around the perimeter. During the training period, an escape box containing bedding was placed under the escape hole. The position of the escape hole was varied for each mouse, but was constant during the training period. Each mouse was tested 4 times per day for 4 days; 15 min separated each trial. At the start of a trial, a mouse was placed on the center of the maze. The trial lasted 3 min or until the mouse found the escape hole. If at the end of 3 min the mouse had not found the escape hole, it was shown the location. Once a mouse entered the escape box it was allowed to remain there for 3-5 min. We measured the time (latency) that a mouse took to find and enter the escape hole. On the fifth day each mouse underwent a probe trial, during which the escape box was removed. The mouse was placed in the center of the maze, and its position tracked for 90 sec. We recorded the percentage of time it spent in the quadrant that had contained the escape hole. During the training period and probe trial, movement of mice was recorded by video and scored later. Operators were not aware of genotype or treatment.

Context fear conditioning assay

We used methods for context fear conditioning similar to those previously described [60]. Experiments were performed using a computerized video fear conditioning system (Med Associates, St Albans, VT). On day 1, the training day, mice were placed in a conditioning chamber for 4 min. They then received a 0.75 mA foot shock for 1 sec. A total of 5 shocks were delivered with 80 sec. intervals between shocks. Freezing behavior was measured during the 8 min training period. Freezing behavior was defined as the absence of movement other than respiration and was scored with VideoFreeze software (Med Associates). Mice were then removed and returned to their home cage. Twenty-four hours later, the testing day, mice were placed in the training chamber. No foot shocks were delivered, and freezing behavior was assessed for 5 min. Operators were not aware of genotype or treatment.

Statistical analysis

Statistical significance was evaluated using unpaired t-test. For multiple comparisons, we used an ANOVA with a Bonferroni post-hoc test. Differences were considered statistically significant with $P < 0.05$.

Results

FPI causes cerebral acidosis that is prevented by HCO_3^- administration

Based on reports that TBI reduces brain pH in humans [21,22] and rats [47], we tested the hypothesis that FPI induces cerebral acidosis in mice. We prepared mice with a craniotomy and placed a modified plastic hub on the right parietal bone. One day later mice were anesthetized and one group received an FPI and the other was sham-treated. We then inserted a fiber-optic pH probe through the craniotomy site into parietal cortex and measured pH one hour after FPI. Because TBI and anesthesia might change ventilation and thereby pH, we ventilated animals to maintain a constant PCO_2 . Compared to the sham-treated mice, pH was reduced in mice that received FPI (Fig. 1).

An earlier study showed that HCO_3^- administration raised the pH of brain tissue and cerebral spinal fluid [48]. Moreover, delivering HCO_3^- blunted the acidosis induced by breathing CO_2 [48]. We found that delivering intraperitoneal NaHCO_3 immediately after FPI prevented the FPI-induced reduction in pH (Fig. 1). These results indicate that as in humans with TBI, FPI produced cerebral acidosis in mice. Moreover, administering HCO_3^- prevented the pH drop.

Eliminating ASIC1a or administering HCO_3^- attenuates FPI-induced neurodegeneration.

Previous work has shown that FPI causes the death of neurons [49,50]. We asked if disrupting the *ASIC1a* gene, and thereby eliminating ASIC-dependent acid-evoked currents, would attenuate neuronal degeneration. We used fluoro-jade staining to detect degenerating neurons [51,52]. Observers unaware of the treatment condition counted fluoro-jade-stained neurons in standardized parts of the ipsilateral cortex. One day after FPI, we found abundant

staining in wild-type mice (Fig. 2A). In contrast, *ASIC1a*^{-/-} mice had approximately half as many fluoro-jade positive cells. By four days after the injury, fewer fluoro-jade positive cells were observed in both the wild-type and *ASIC*^{-/-} mice (Fig. 2B), which likely reflects death of cells that were previously labeled. The reduction in fluoro-jade stained cells in the *ASIC*^{-/-} mice was not statistically different from that in the wild-type mice.

The FPI-induced fall in pH and attenuation of neuronal degeneration by eliminating ASIC1a channels suggested that an acidic pH was responsible. To test that hypothesis, we administered HCO₃⁻ immediately after the FPI. Compared to control mice receiving NaCl, animals that received HCO₃⁻ had a reduction in fluoro-jade staining (Fig. 2B). We also tested the effect of delivering the HCO₃⁻ 1 hr after the FPI. As with immediate administration, we observed a substantial reduction in the number of fluoro-jade positive cells. These results suggest that a reduced pH activates ASIC channels and exacerbates neuronal degeneration. However, the greater reduction in neurodegeneration with HCO₃⁻ administration compared to *ASIC1a* gene disruption suggests that the reduced pH had additional injurious effects.

Loss of ASIC1a and HCO₃⁻ administration prevent FPI-induced deficits in spatial memory.

FPI can impair hippocampal function and hippocampus-dependent spatial memory [53,54]. Our finding that disrupting the *ASIC1a* gene and HCO₃⁻ treatment protected neurons from FPI suggested that these interventions would also attenuate spatial memory deficits. To test this hypothesis, we used a Barnes maze to assess hippocampus-dependent spatial learning and memory [55]; previous work showed that TBI impairs performance on a Barnes maze [56,57]. In the Barnes maze, mice must use spatial memory to locate an escape hole among 43 holes around the circumference of a circular table. Mice were studied on four

consecutive days, during which time we measured the latency to reach the escape hole (Fig. 3A). During this acquisition phase of the assay, neither FPI, loss of ASIC1a nor HCO_3^- administration had a statistically significant effect on latency to find the escape hole.

On the fifth day, we performed a probe trial to test for memory of the escape hole (Fig. 3B). The escape nest was removed, and we measured the amount of time mice spent in the quadrant that had contained the escape hole. Sham-treated wild-type and *ASIC1a*^{-/-} mice spent a similar amount of time in the escape quadrant. In wild-type mice, FPI reduced the time in the escape quadrant. In contrast, FPI had no effect on performance of the *ASIC1a*^{-/-} mice. Treating wild-type mice with HCO_3^- after FPI also prevented a reduction in time spent in the escape quadrant. These results are consistent with our studies of neuronal degeneration and suggest that eliminating ASIC1a or preventing a reduction in brain pH can at least partially attenuate the adverse effects of TBI on spatial learning.

HCO_3^- administration restores contextual fear behavior after FPI.

ASIC1a is more abundantly expressed in the amygdala than in the hippocampus [34]. Contextual fear conditioning assays depend on amygdala function, in addition to hippocampal function [58-60]. Moreover, our earlier work showed that loss of ASIC1a decreased contextual fear conditioning [34]; because of that performance defect, we did not test them with FPI. In the fear conditioning assay, mice are trained to associate a novel environment, a context, with a foot shock, and freezing behavior is measured as an expression of a threat-induced defense reaction. During the training phase of fear conditioning, mice received five foot shocks, and we recorded the percentage of time they spent freezing (Fig. 4A). FPI did not significantly alter freezing behavior.

Twenty-four hours after training, we returned the mice to the same context and measured the percentage of time they spent freezing in the absence of foot shocks (Fig. 4B). Compared to sham-treated animals, FPI reduced the threat-induced defense reaction by ~40%. However, mice that had received a NaHCO_3^- injection following FPI showed less freezing behavior, and the time they spent freezing did not differ from that of sham-treated animals. In contrast, mice that received NaCl instead of NaHCO_3 showed no improvement in freezing behavior.

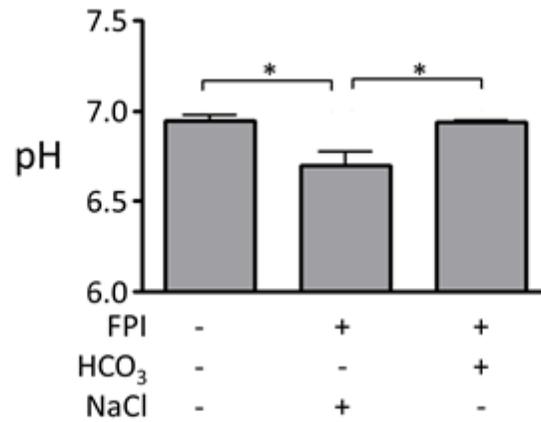


Figure 1. HCO₃⁻ administration prevents the FPI-induced decrease in brain pH. Data are cortical brain pH one hr after FPI. NaHCO₃ was administered as indicated immediately after FPI. Animals were anesthetized and mechanically ventilated. N = 4 control, 5 FPI, and 5 FPI plus NaHCO₃ mice. * indicates P < 0.05; ANOVA with Bonferroni post-hoc test.

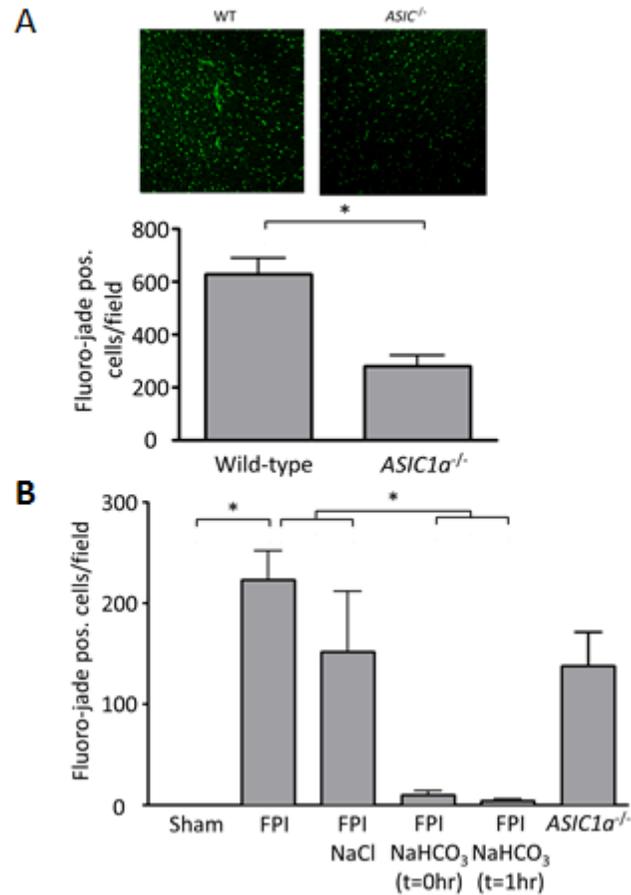


Figure 2. Loss of ASIC1a and NaHCO₃ administration reduce FPI-induced neuronal degeneration. **A.** Brain was removed one day after FPI. Data are fluoro-jade positive neurons per microscopic field (0.9 μm^2). N = 5 wild-type and 5 *ASIC1a*^{-/-} mice. **B.** Brain was removed four days after FPI. N = 5 sham-treated mice, 4 FPI-treated wild-type mice, 3 FPI-treated mice with NaCl administered immediately after FPI, 4 FPI-treated mice with NaHCO₃ administered immediately after FPI, 7 FPI-treated mice with NaHCO₃ administered 1 hr after FPI, and 5 FPI-treated *ASIC1a*^{-/-} mice. * indicates P < 0.05; ANOVA with Bonferroni post-hoc test.

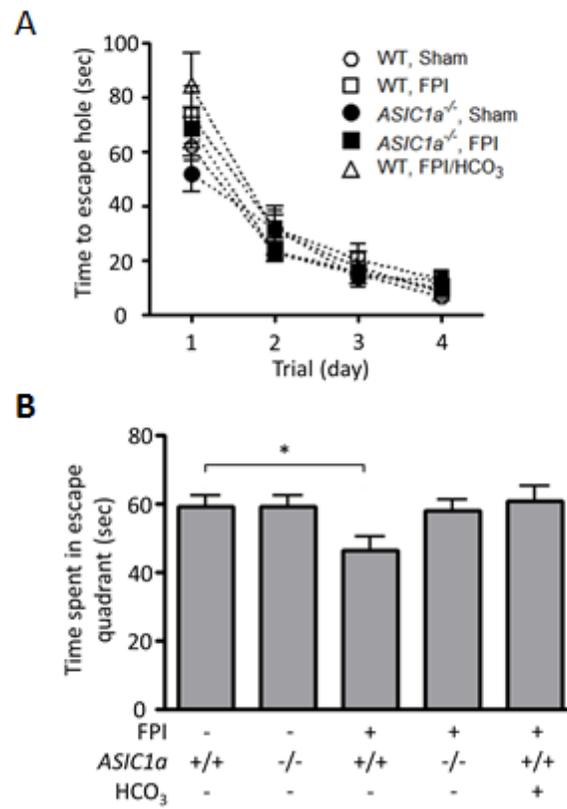


Figure 3. Disruption of the *ASIC1a* gene and NaHCO₃ administration reduce the FPI-induced decrement in spatial memory. **A.** Data from 4 days of training on the Barnes maze. Data are latency to find the escape hole. N = 11 wild-type, sham-treated mice, 17 FPI-treated wild-type mice, 14 *ASIC1a*^{-/-} sham-treated mice, 19 *ASIC1a*^{-/-} FPI-treated mice, and 10 FPI-treated wild-type mice that received NaHCO₃ immediately after FPI. None of the groups showed a statistically significant difference. **B.** Data are time during 90 sec. that mice spent in the quadrant that had contained the escape hole. * indicates P < 0.05 compared to sham treated wild-type mice; ANOVA with Bonferroni post-hoc test.

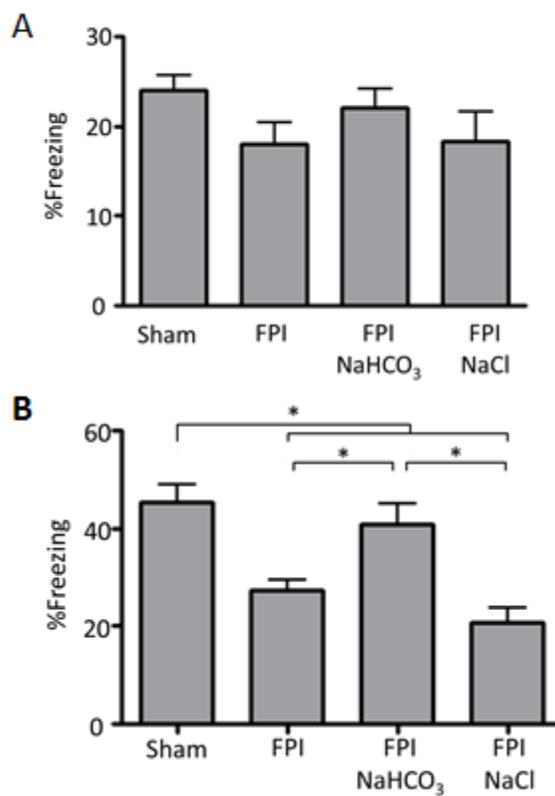


Figure 4. NaHCO₃ administration improves performance in fear conditioning assay done after FPI. **A.** Percentage of time freezing during 8 min on the training day. All the mice were wild-type. N = 25 sham-treated, 10 FPI-treated controls, 14 FPI-treated with NaHCO₃ administered immediately after FPI, and 6 FPI-treated with NaCl administered immediately after FPI. There were no statistically significant differences between groups. **B.** Percentage of time freezing during 6 min on the testing day. * indicates P < 0.05; ANOVA with Bonferroni post-hoc test. ** indicates P < 0.05 compared to FPI control and FPI treated with NaCl; ANOVA with Bonferroni post-hoc test.

CONCLUSION

Our data indicate that FPI induced brain acidosis that contributed to neuronal injury through ASIC channels. We discovered that reducing brain acidosis by administering HCO_3^- decreased neuronal degeneration and improved performance in tests that assess spatial memory and memory involving the reaction to threat. Likewise, eliminating a target of an acidic pH, ASIC1a channels, reduced neuronal degeneration and improved performance in spatial learning. In addition, we found that HCO_3^- administration was more effective than *ASIC1a* gene disruption at preventing neurodegeneration. That result suggests that the acidic brain pH may have had injurious effects in addition to activation of ASIC channels.

The results of these studies suggest that cerebral acidosis plays an important role in the secondary injury that is a key component of TBI. Our findings have similarities to observations that ischemic stroke and a model of multiple sclerosis induce cerebral acidosis and that their severity is reduced by disrupting the *ASIC1a* gene [35,36,38]. Reports that ASIC channels may play a role in reperfusion injury [37], Huntington's disease [42], and Parkinson's disease [39-41] suggest that in those disorders cerebral acidosis might also contribute to disease severity. In these various diseases, the mechanisms that produce acidosis could differ, but acidosis and activation of ASIC channels may be a common pathway leading to neuronal pathology. These considerations also lead us to speculate that acidosis and activation of ASICs might contribute to other diseases involving neuron injury and degeneration.

Our study has certain strengths as well as a number of limitations. An advantage of the experimental design is that we measured the effect of the interventions – HCO_3^- administration and *ASIC1a* gene disruption – using both structural and functional assays and obtained concordant results. Use of *ASIC1a*^{-/-} mice also has an advantage compared to

inhibiting ASIC currents with pharmacological agents, which can often have partial effects on the intended target and introduce additional unanticipated effects. A limitation of our work is the use of mice and the FPI model; there are several species and models of TBI, and each has advantages and disadvantages [46]. Thus, it may be of value to extend this work to other models. Our measurements indicate that extracellular brain pH fell after FPI, but we were unable to determine whether intracellular pH changed, and a reduced intracellular pH might also contribute to injury. Moreover, a decreased intracellular pH could affect many processes other than ASIC channels.

In this study, we tested one aspect of the secondary injury component of TBI. However, several additional factors may contribute to secondary aspects of TBI, and our data do not exclude other proposed mechanisms. For example, other processes involved in TBI include brain ischemia [6-8], brain edema causing increased intracranial pressure [9,10], excitotoxicity [11-13], oxidative stress [14-16], and inflammation [17-20]. In addition, several potential treatments have shown some promise in TBI including anti-depressants [61,62] and progesterone [63,64,65]. These studies emphasize the complexity of TBI and suggest that understanding the pathophysiological mechanisms is essential in order to rationally develop new therapies.

A challenge in managing TBI is to develop neuroprotective strategies that will reduce the secondary injury that follows the initial mechanical insult. Our experiments suggest that minimizing brain acidosis and/or inhibiting ASIC channels might have the potential to interfere with that pathway and thereby attenuate functional deficits. Two observations suggest the potential feasibility of pursuing such a strategy. First, TBI causes a reduction in brain pH in humans [21,22]. Second, it may be possible to alter brain pH in humans.

Although brain pH was not measured, HCO_3^- has been administered to patients with severe TBI [66,67]; hypertonic NaHCO_3 was given intravenously in place of hypertonic NaCl to reduce elevated intracranial pressures. While NaHCO_3 was as effective as saline at reducing intracranial pressure, brain pH was not measured and whether HCO_3^- administration had any additional long-term benefits remains uncertain. Patients with TBI are sometimes also mildly hyperventilated with a goal of managing intracranial pressure. That maneuver might also elevate brain pH, and it would be interesting to know if this specific effect of hyperventilation has a beneficial effect. Our data indicate that administering NaHCO_3 one hour after FPI minimizes brain injury in mice. However, a practical therapeutic strategy directed at reversing brain acidosis or inhibiting ASIC channels in humans has yet to be developed and tested. Nevertheless, our data suggest that normalizing brain pH and/or inhibiting ASIC might be effective in reducing functional deficits in patients who suffer a TBI.

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